ASES ON-CHAIN PROTOCOL

LONG-TERM ADMINISTRATION PLAN

Version 1.0

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INTRODUCTION

Ensuring a sound, solid, resilient, and durable administration of the ASES nature-positive climate action on-chain protocol (aOCP), at a time scale according to the projects it certifies, involves implementing comprehensive strategies that address key aspects of governance, operations, and adaptability. By integrating these strategies, the ASES on-chain protocol is set up to build a resilient and durable administration capable of withstanding the challenges and changes that may arise over the course of decades. Regular reviews and adaptations to these strategies are essential to maintain the program's effectiveness and impact.

The long-term administrative plan includes the following twelve aspects:

1. Strategic Governance Structure
2. Long-Term Planning
3. Risk Management
4. Capacity Building
5. Transparent and Accountable Operations
6. Adaptability, Technology Integration, and Innovation
7. Sustainability and Environmental Ethics
8. Community Engagement
9. Legal and Regulatory Compliance
10. Financial Sustainability
11. Strategy in case of dissolution of the aOCP program in its current form

I. STRATEGIC GOVERNANCE STRUCTURE

The aOCP has established a robust governance framework that includes clear roles, responsibilities, and decision-making processes. aOCP's governance includes stakeholder representation and engagement to ensure diverse perspectives are considered in program decision-making. Details on the aOCP governance structure are displayed in the *aOCP Framework* document.

Furthermore, the aOCP strives to develop strong relationships with multi-sectoral communities and stakeholders, ensuring their input is valued in administrative decisions. Inclusive and participatory processes are implemented, involving communities in the design, implementation, and evaluation of program initiatives.

II. LONG-TERM PLANNING

The aOCP Steering Committee, taking into consideration Stakeholders' contributions and concerns, defines the short-, medium- and long-term strategies. These strategies are aligned with the aOCP's mission, vision, and values.

To achieve its vision and stick with its mission, planning comprises goals regarding project expansion and diversity, positive impact on ecosystem services, technological advancements, stakeholder engagement and inclusivity, and regulatory alignment and integrity. Short- and mid-term planning comprises the definition of resource allocation for operations, human resources, technology Investments, community engagement, and Global Advocacy and Policy Initiatives.

This strategic plan provides a roadmap for the aOCP over the next seven years, outlining clear goals, measurable objectives, milestones, and resource allocation strategies to ensure sustained impact and success in achieving its mission. Regular reviews and adaptations will be conducted to respond to changing circumstances and opportunities.

The long-term strategic plan provides a roadmap for the aOCP over the next four decades, emphasizing adaptability, innovation, and global collaboration. Continuous evaluation and refinement will be integral to the plan's success, allowing the program to stay at the forefront of environmental conservation and climate action.

III.   RISK MANAGEMENT

The aOCP possesses a comprehensive Risk Management Plan, which aims to enhance program resilience, adaptability, and long-term sustainability. This plan helps to systematically identify, evaluate, and mitigate potential challenges and uncertainties that may impact the successful administration and operation of the aOCP.

The risk management plan considers the following risks:

1. Environmental Risks:

> 1.1 Climate Change Events

> 1.2 Regulatory Changes

2. Technological Risks:

> 2.1 Blockchain Vulnerabilities

> 2.2 Technological Obsolescence

3. Operational Risks:

> 3.1 Project Implementation Delays

> 3.2 Community Resistance

4. Financial Risks:

> 4.1 Market Volatility

> 4.2 Funding Dependency

5. Reputation and Stakeholder Risks:

> 5.1 Public Perception

> 5.2 Stakeholder Disputes

6. Strategic Risks

> 6.1 Mission Drif

> 6.2 Inadequate Innovation

7. Global and Socio-Political Risks:

7.1 Geo-Political Instability

7.2 Socio-Economic Changes

8. Health Risks:

8.1 Health Emergencies

Risk assessments are conducted regularly, with additional assessments conducted in the case of significant external changes. The reporting mechanism helps to communicate identified risks, mitigation efforts, and outcomes to internal and external stakeholders.

IV. CAPACITY BUILDING

The aOCP Capacity Building Program ensures the continuous professional development of the aOCP administrative team and staff. This initiative creates a dynamic learning environment and ensures that team members are equipped with the knowledge and skills needed to navigate emerging trends, technologies, and best practices in the field of climate action and ecosystem restoration.

V. TRANSPARENT AND ACCOUNTABLE OPERATIONS

The aOCP instills transparency in program operations and maintains accountability, ensuring stakeholders have access to relevant information, and building trust through regular reporting and third-party audits. Key components of this practice are transparent administrative practices, stakeholder engagement and consultation, regular reporting, third-party audits, use of technology for transparency, adaptive governance, and accessibility and inclusivity.

This strategy aims to embed transparency and accountability into the core administrative practices of the aOCP, fostering trust, promoting stakeholder engagement, and ensuring the program's credibility in the field of climate action and ecosystem restoration.

The aOCP compiles an annual* report detailing the organization's financial performance, encompassing revenues, expenditures, and net assets from the previous year. Additionally, this report offers an overview of the organization's mission, key programs, and activities, as well as details about its governance structure. * An exception to this yearly reporting schedule was made during the initiation of aOCP Operations. This deviation was necessary as processes were being tested, adjusted, and implemented during the initial phase.

VI. ADAPTABILITY, TECHNOLOGY INTEGRATION, AND INNOVATION

One of the key strategies of the aOCP is to leverage innovative technologies, including blockchain, remote sensing data, Internet of Things (IoT), and artificial intelligence (AI), for secure and transparent record-keeping, and data-based monitoring procedures. The plan aims to guide accurate credit issuance, ensuring the integrity of aOCP's administrative processes, with a commitment to regularly update and adapt technological systems for continuous administrative efficiency.

VII. SUSTAINABILITY AND ENVIRONMENTAL ETHICS

Sustainability and environmental ethics considerations are embedded in the aOCP ESG Plan. By integrating these principles into administrative decisions, operational practices, and community

engagement, the program stands to raise positive impacts on ecosystems and communities, maintaining the highest ethical standards.

VIII.   LEGAL AND REGULATORY COMPLIANCE

The aOCP holds a legal compliance and regulatory awareness strategy to stay informed about relevant legal and regulatory frameworks, ensuring full compliance with evolving requirements, and to establish legal counsel relationships for guidance on compliance and potential legal issues.

This Legal Compliance and Regulatory Awareness Strategy and Action Plan aims to ensure that the ASES On-Chain Protocol (aOCP) remains fully compliant with legal and regulatory frameworks. By fostering strong relationships with legal counsel, proactively monitoring regulatory changes, and integrating legal compliance into organizational practices, the program seeks to navigate evolving legal landscapes with diligence and integrity.

IX.   FINANCIAL SUSTAINABILITY

The aOCP implements prudent financial management practices, diversifies funding sources, engages in long-term financial planning, and establishes financial reserves to address unforeseen challenges. By implementing these strategies, the program aims to ensure financial resilience, adaptability to changing circumstances, and sustained support for its impactful initiatives.

X.   STRATEGY IN CASE OF DISSOLUTION OF THE aOCP PROGRAM IN ITS CURRENT FORM

In the case the aOCP becomes incapable of managing its Program or anticipates such a scenario, the management, methodologies, registry, and ongoing projects will be transferred to an alternative operational entity. This process is set up to include the following elements:

- A clear and transparent communication plan for internal and external stakeholders.
- Legal and regulatory compliance.
- Financial management.
- Ongoing project's transition plan.
- Employee and contractor considerations.
- Data and record management.
- Closure of administrative operations.
- Reputation management.
- Learning and evaluation.
- Post-dissolution support.
- Future planning.

DOCUMENT HISTORY		
Version	**Date**	**Comments**
V1.0	December 17, 2023	● Initial version released for review by the aOCP Steering Committee under the aOCP Version 1.